

Executive School of Management,  
Technology and Law (ES-HSG)



Universität St.Gallen



10<sup>th</sup> Anniversary of the

# COMPLIANCE MANAGEMENT DAY

Thursday, 24 October 2019  
Zunfthaus zur Zimmerleuten, Zurich

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# *“10 Years After: Have We Gone Too Far With Compliance”?*



**COMPLIANCE  
MANAGEMENT  
DAY**

In this special anniversary year, we will take a critical look back on where compliance has come from and where it is going, also in light of globalisation, regulation, and digitalisation. Has compliance become too specialised and compartmentalised? What changes in approach and talent might be needed? Women in Compliance: a topic or not? In addition, we will examine international developments and reveal the results of the latest annual HSG compliance survey. With multiple panels of prominent business and compliance leaders, this will be a celebration not to miss!

## **TARGET GROUPS**

This is a cross-industry event that attracts compliance and risk officers, in-house and external counsel, auditors, culture and ethics specialists, board members, executives, and regulators.

## **CHAIR**

Juris Doctor Gabe Shawn Vargas

Lecturer and Director of Compliance Studies,  
Executive School of Management, Technology  
and Law of the University of St.Gallen



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## **COOPERATION PARTNERS**

**SACO**  
Swiss Association of Compliance Officers

**ACC EUROPE**  
Association of Corporate Counsel

## SCHEDULE FOR THE DAY

Top speakers from different industries and sectors (including from regulators) will address where compliance has come from and where it is going.

<b>8:00 to 8:30</b>	<b>Arrivals and Coffee</b>
<b>8:30 to 10:30</b>	<ul style="list-style-type: none"><li>• How to digest a decade of compliance change?</li><li>• View of Compliance from the apex: The perspective of the Board, CEO, CRO, General Counsel and Chief Compliance Officer.</li></ul> <p>Panelists will include senior representatives from UBS, the Body Shop, Clariant, Rothschild Bank, AXA and other leading companies.</p>
<b>11:00 to 12:45</b>	<ul style="list-style-type: none"><li>• Has compliance become too specialised? Fragmented?</li><li>• Women in compliance: A topic or non-topic? Do women approach compliance differently? Face barriers?</li></ul> <p>Panelists will include senior representatives from Bayer, Selecta, Boston Consulting Group, Allianz, the United Nations World Food Program, and other organisations.</p>
<b>12:45 to 14:15</b>	<b>Networking Lunch by Industry Tables</b>
<b>14:15 to 15:15</b>	<ul style="list-style-type: none"><li>• How have lawmakers and regulators impacted how companies do compliance?</li></ul> <p>Panelists will include current and former regulators from organisations such as FINMA, Deutsche Börse, the Dubai Financial Services Authority, the Basel Committee on Bank Supervision and companies such as Swiss Re.</p>
<b>15:45 to 16:45</b>	<ul style="list-style-type: none"><li>• What will compliance success look like in a digitised future? What approaches and tools? What talent?</li></ul> <p>Panelists will include representatives from companies and organisations such as eBay, the Crypto Valley Association, Zenith Source, and Egon Zehnder.</p>
<b>16:45 to 17:15</b>	<ul style="list-style-type: none"><li>• Results of the 10th Annual Compliance Management Day Survey.</li></ul> <p>Gabe Shawn Varges will present on what these results may portend for the future.</p>
<b>17:15</b>	<b>Umtrunk! And Celebratory Anniversary Cocktails</b>

## CHAIR

Juris Doctor Gabe Shawn Varges

## LOCATION

Zunftthaus zur Zimmerleuten, Limmatquai 40, CH-8001 Zürich

## CONFERENCE FEE

The conference fee per person is **CHF 890**, which includes handouts, lunch and refreshments. The conference fee is payable upon receipt for the registration confirmation. For Law&Management Alumni/ae and for past Compliance Management Day participants, a special **10th Year Anniversary Discount of 50% is offered**.

## REGISTRATION DEADLINE / CANCELLATION

The registration period runs until October 10, 2019. Cancellations received before this date will be subject to a fee of CHF 100, and for subsequent cancellations the full conference fee will be due.

**Register now: [lam.unisg.ch/conferences](http://lam.unisg.ch/conferences)**

## ORGANISATION / INFORMATION

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