

51th SWISS COMPLIANCE OFFICERS MEETING / SCOM

November 9, 2022
SIX Convention Point, Pfingstweidstrasse 110, 8005 Zürich

37th SCOM FORUM

NICE Actimize

Indigita

 prometeia



SOGENI SA

INTERNATIONAL HEIR SEARCH

51th SWISS COMPLIANCE OFFICERS MEETING / SCOM

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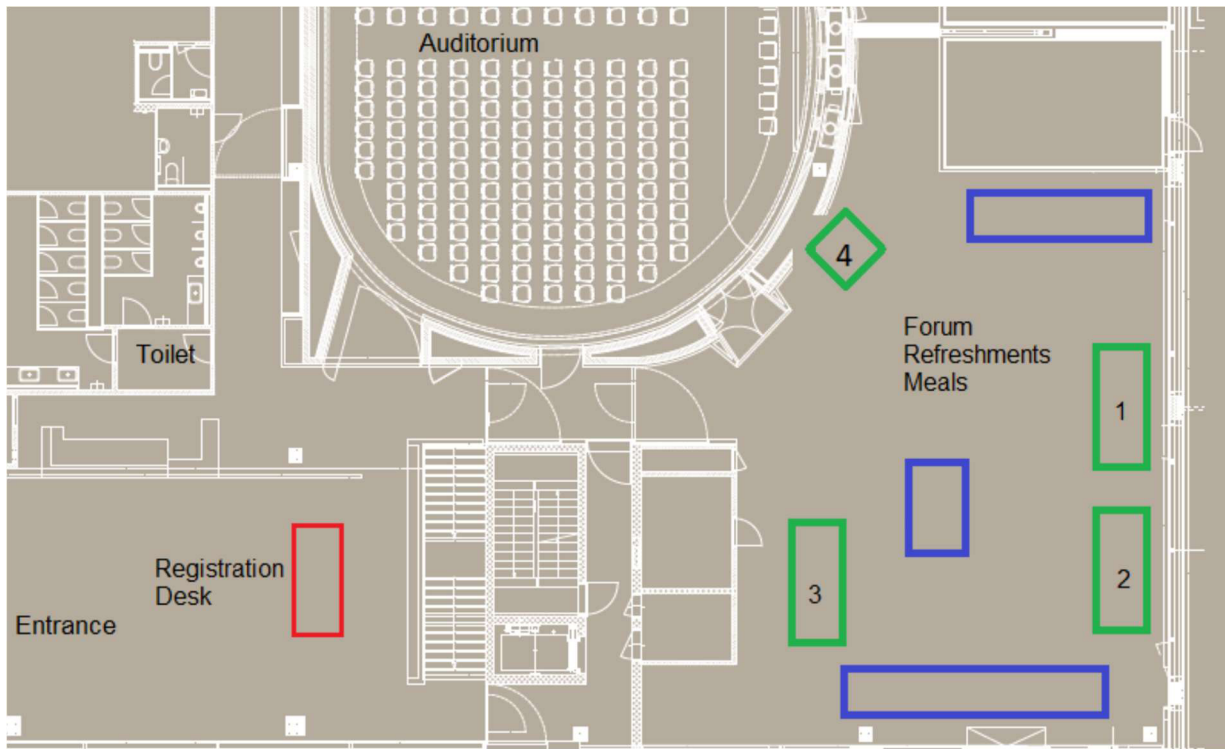
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Exhibitor Portraits provided by the Companies (in alphabetical order)

Exhibition Plan



Exhibitors

- 1 Prometeia
- 2 Nice Actimize
- 3 Sogeni SA
- 4 Indigita SA

Welcome Desk (Entrance Hall)

Buffet & Coffee

ABOUT US

Indigita is a leading Swiss regulatory technology (regtech) company, providing the new industry standard for automated cross-border compliance and suitability solutions.

We provide digital, market-leading expertise across **200 jurisdictions** in the fields of private banking, asset management, credits, product placement, cross-border suitability and appropriateness, as well as tax treatment and tax suitability. In addition, Indigita is a pre-eminent provider of digital courses on compliance and risk management.

Indigita clients range from large banking groups to individual asset managers and rely on our fully integrated ecosystem of innovative digital solutions. We offer a suite of standalone applications, APIs and interactive e-Learning trainings to conduct cross-border business in a safe and compliant way amid an increasingly complex regulatory environment.

Our digital solutions are backed, on an exclusive basis, by **BRP Bizzozero & Partners SA**, a global leader in cross-border compliance for financial institutions, and **BRP Tax SA**, a specialised advisor on international taxation.

OUR SOLUTIONS

inApp - a standalone, web-based application, designed to provide instant and context-specific answers to cross-border regulatory scenarios on any mobile device or computer.

API - an integrated rules engine developed to enable automated cross-border checks on banking services, products and tax suitability within existing processes. The API allows financial institutions to build cross-border rules and guidance directly into their own systems.

TAMIS - a standalone, web-based application, the Tax Advanced Monitoring Investment Solution, which helps wealth planners and portfolio managers to mitigate tax-related risks and keep investments with a toxic tax effect out of client portfolios.

e-Learning - an extensive catalogue of independently certified trainings, developed in collaboration with BRP Bizzozero & Partners SA, leading business schools, universities and law firms, which keep financial professionals up-to-date on regulatory topics and cross-border rules.

Travel API - the travel component of our API complements the Indigita Learning Management System (LMS) and ensures that relationship managers have completed all mandatory cross-border and country-specific trainings, prior to travelling abroad.



Swiss Association for Quality

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Financial crime moves fast – NICE Actimize is faster

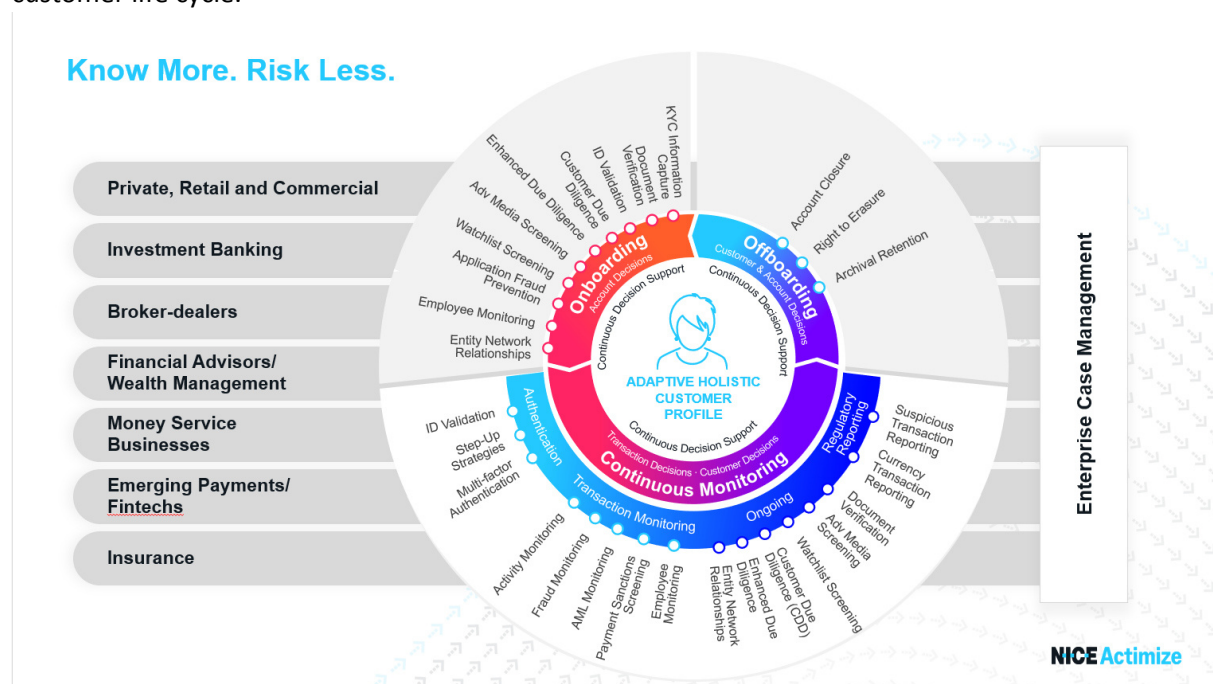
As the market leader for anti-money laundering, enterprise fraud, and trade compliance solutions, NICE Actimize leverages the industry's most advanced AI and machine learning to help firms protect their customers and assets. With powerful analytics, gain valuable insights with the speed and flexibility needed to fight financial crime within the integrated Enterprise Case Manager.

Protecting Financial Institutions

Financial institutions of all sizes rely on NICE Actimize. Harnessing the power of AI, data, analytics, and the Cloud - NICE Actimize is there every step of the way from onboarding customers and authenticating interactions to monitoring transactions and conducting investigations.

Safeguarding Your Customers

With digital acceleration happening all around us, your customers expect fast and frictionless experiences. Powered by actionable insights, NICE Actimize enables safe and frictionless transactions when it comes to opening accounts, depositing money, and mitigating risk throughout the entire customer life cycle.



Amid the realities of changing regulations, rapidly evolving technology and stretched resources, it's crucial to have a strong AML foundation. NICE Actimize offers an integrated set of solutions for a holistic view of customer risk for true life cycle risk management, business agility, and cost savings.

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Business and solution introduction	<p>Prometeia is a leader in software and data solutions for the financial sector. Founded in 1974 as a non-profit economic research institute, our rigorous, client focused approach to wealth, asset and risk management has made us a trusted partner of more than 400 institutions across 20 countries.</p> <p>Our front-office wealth management tools (PFTPro WM Suite) help transform the way you interact with your clients throughout the entire investment process by enabling personalized, value-adding, MiFID II/ FinSA compliant services at scale. We currently support the management of over 2.5 trillion in assets across millions of portfolios, ranging in size from thousands to hundreds of millions.</p>
Prometeia in numbers: The PFTPro platform in numbers:	<ul style="list-style-type: none"> • Over 45 years of experience in research, quantitative analysis and software development • Over 130 mio. Euro turnover from Risk, Wealth and Asset Management • 1000+ experienced business consultants, analysts, economists, engineers and developers • 100+ WealthTech and RegTech projects successfully delivered in the last 3 years • 400+ clients, including Tier 1 banking groups, wealth managers, asset managers, family offices and insurers across 20 countries • Launched in 2001, with calculation engines for e-banks and associated data feeds • 375 employees dedicated to the development and support of the platform, including on-the-ground staff in Switzerland located in our office in Zürich • 7 years of specialist wealth management experience of support staff on average • Over 200 wealth clients in Europe • 80%+ market share in Italy, with an increasing footprint in Switzerland • 32% of clients are private banks/family offices, 17% advisor networks & 51% commercial banks • >CHF 2.5 trillion of AUM supported across all clients • 14,000 relationship managers supported in the largest single installation • 26,000,000 portfolios managed in the largest single installation • 350,000+ securities covered and updated daily through the Data Analysis Service
Main contacts for the Swiss market	
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has specialized **since 1994** in the search for heirs and beneficiaries of unclaimed assets in Switzerland and abroad. As of today, it has resolved **7'500 heir searches** for inheritance related professions as well as financial institutions.

FEATURED ON



PME

an unmatched success rate : 98% of our dormant accounts cases were successfully settled.

a 30-year experience including extensive practice with financial institutions : more than **2'000 NARILO cases** completed to date.

absolute protection of data and banking secrecy : we keep all sensitive data in Switzerland, in a highly secured environment. Our working methods guarantee absolute respect for banking secrecy.

Absence of heir(s) or holder(s) of the asset	search for asset holder; in the event of the proven death of the latter, search for the rights holders (heirs, legatees).	Close to 40 multilingual people (employees or correspondents), all bound by professional secrecy , work on behalf of SOGENI :
Unknown / incomplete inheritance chart	determination of the inheritance chart under the applicable law : identity of the heirs, respective shares.	: a dozen of employees centralize the research work. : our global network of 30 professionals provide local genealogy and inheritance law knowledge and support. : SOGENI has branches in Lausanne and Zürich .

You have access to **very specific skills and information sources**, which are instrumental in resolving the cases.

Your searches are handled with **utmost discretion** and professionalism and successfully resolved with a **98% certainty**.

You can **free up internal resources at no costs** for your institution. The search expenses are charged to the account in accordance with Swiss Banking Association's NARILO guidelines.