

# 53th SWISS COMPLIANCE OFFICERS MEETING / SCOM

November 2, 2023  
SIX Convention Point, Pfingstweidstrasse 110, 8005 Zürich

## 39th SCOM FORUM



**SOGENI SA**  
INTERNATIONAL HEIR SEARCH

**LINKURIOUS**



**NICE**  
**Actimize**

**Indigita**

**POLIXIS**

# **53th SWISS COMPLIANCE OFFICERS MEETING / SCOM**

**November 2, 2023**

**SIX Convention Point, Pfingstweidstrasse 110, 8005 Zürich**

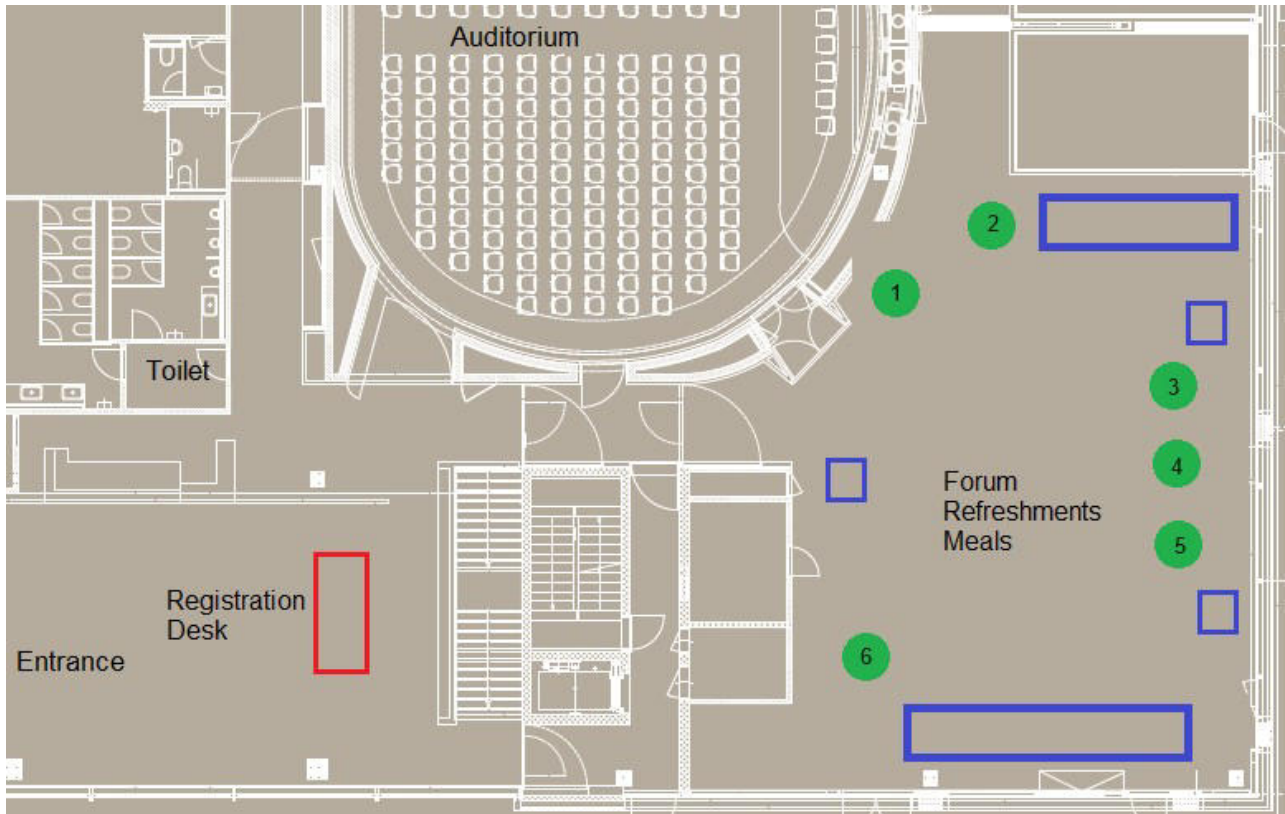
## **39th SCOM FORUM**

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Exhibitor Portraits provided by the Companies (in alphabetical order)

## Exhibition Plan



## Exhibitors

- 1 Indigita SA
- 2 Sogeni
- 3 Polixis
- 4 Alvarez & Marsal
- 5 Linkurious
- 6 Nice Actimize

**Welcome Desk (Entrance Hall)**

**Buffet & Coffee**



# Disputes & Investigations Switzerland

Disputes. Investigations & Compliance. Forensic Technology. Cyber.

A&M expanded to Switzerland in 2019 with the opening of offices in Zurich and Geneva. Our leading experts in Switzerland have decades worth of combined professional experience and have worked on some of the largest and most contentious regulatory and compliance investigations and remediation projects throughout their careers.

## What we do

As a trusted advisor, we help to resolve complex matters from the boardroom to the courtroom. Our experts are specialized in providing in-depth analysis, investigation and remediation advice, forensic accounting, forensic technology, and cyber solutions, as well as quantum of damages and expert witness testimony.

Additionally, we support risk functions with advanced technological solutions when tackling their biggest FinCrime challenges, including Graph Analytics.

## Our clients

We don't offer everything to everyone, but we focus on the work where we can make a notable difference and excel. Our clients include compliance, investigation, risk and legal professionals from financial services firms and law firms.

We routinely report directly to or help our clients with providing the C-Suite, members of the Board of Directors and external stakeholders including international and Swiss regulators and oversight bodies with timely and relevant information.

## Why A&M

Clients choose us for our deep expertise and ability to create and deliver practical, rather than theoretical, solutions to their unique problems in addition to our independence/objectivity.

We are a large and international organization, capable of getting things done efficiently, yet we maintain the agility needed to avoid conflicts of interest in most cases. Furthermore, we are not constrained by audit-related conflicts.

## A&M's Global Reach

**8,000+**  
Employees

**40**  
Years

**6**  
Continents

**75+**  
Offices

**35**  
Countries

### NORTH AMERICA

#### New York (Global HQ)

Atlanta	El Segundo	Phoenix
Birmingham	Greenwich	San Antonio
Boston	Houston	San Francisco
Calgary	Kansas City	San Jose
Charlotte	Los Angeles	Scottsdale
Chicago	Miami	Seattle
Dallas	Morristown	Tampa
Denver	Nashville	Toronto
Detroit	Philadelphia	Vancouver
		Washington, D.C.

### EUROPE AND THE MIDDLE EAST

#### London (Europe HQ)

Abu Dhabi	Geneva	Munich
Amsterdam	Glasgow	Oslo
Athens	Helsinki	Paris
Birmingham	Hamburg	Prague
Cayman Islands*	Kiev	Riyadh
Dubai	Leeds	Stockholm
Dublin	Lissabon	Tashkent
Dusseldorf	Madrid	Vienna
Edinburgh	Manchester	Warsaw
Frankfurt	Milan	Zürich

### LATIN AMERICA

#### São Paulo (Latin America HQ)

Belo Horizonte  
Bogota  
Mexico City  
Rio de Janeiro

### ASIA PACIFIC

#### Hong Kong (North Asia HQ)

Beijing  
Seoul  
Shanghai  
**Mumbai (India HQ)**  
Bengaluru  
New Delhi

#### Singapore (SEAA HQ)

Ho Chi Minh City  
Jakarta  
Kuala Lumpur  
Melbourne  
Perth  
Sydney

## Your contacts in Switzerland

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\* The Cayman Islands office works with and strengthens our UK insolvency practice.

Leadership. Action. Results.

## ABOUT US

**Indigita** is a leading Swiss regulatory technology (regtech) company, providing the new industry standard for compliance e-learning and automated cross-border compliance and suitability solutions.

We provide digital, market-leading expertise across **200 jurisdictions** in the fields of compliance, private banking, asset management, credits, product placement, cross-border suitability and appropriateness, as well as tax treatment and tax suitability. Indigita is a pre-eminent provider of digital courses on compliance and risk management.

Indigita clients range from large banking groups to individual asset managers and rely on our fully integrated ecosystem of innovative digital solutions. We offer a suite of standalone applications, APIs and interactive e-Learning trainings to conduct cross-border business in a safe and compliant way amid an increasingly complex regulatory environment.

Our digital solutions are backed, on an exclusive basis, by **BRP Bizzozero & Partners SA**, a global leader in cross-border compliance for financial institutions, and **BRP Tax SA**, a specialised advisor on international taxation.

## OUR SOLUTIONS

**e-Learning** - an extensive catalogue of independently certified trainings, developed in collaboration with BRP Bizzozero & Partners SA, leading business schools, universities and law firms, which keep financial professionals up-to-date on regulatory topics like AML and Market Abuse, and cross-border rules.

**inApp** - a standalone, web-based application, designed to provide instant and context-specific answers to cross-border regulatory scenarios on any mobile device or computer.

**API** - an integrated rules engine developed to enable automated cross-border checks on banking services, products and tax suitability within existing processes. The API allows financial institutions to build cross-border rules and guidance directly into their own systems.

**TAMIS** - a standalone, web-based application, the Tax Advanced Monitoring Investment Solution, which helps wealth planners and portfolio managers to mitigate tax-related risks and keep investments with a toxic tax effect out of client portfolios.

**Travel API** - the travel component of our API complements the Indigita Learning Management System (LMS) and ensures that relationship managers have completed all mandatory cross-border and country-specific trainings, prior to travelling abroad.



## CONTACT US

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Linkurious provides the next generation of financial crime detection and investigation solutions. **Simply powerful and powerfully simple**, Linkurious technology helps teams of analysts and investigators in Global 2000 companies, government agencies, and non-profit organizations to **prevent even the most sophisticated criminal networks from slipping through the cracks**.

## In numbers

- **More than 5000 investigators**, analysts and data professionals use Linkurious technology on a daily basis.
- Global companies such as Ria Money Transfer, Zurich Insurance, Payoneer, Deloitte, PwC **have chosen and trust Linkurious**.
- **3 of 5 of the biggest US banks** including Wells Fargo are using Linkurious technology.
- **4 world impact investigations** have been conducted **with Linkurious technology**: Swiss Leaks, Panama Papers, Paradise Papers and Pandora Papers.
- **2 offices** in France and the USA. **Operating globally**.

## Solution overview

Linkurious Enterprise is a **powerful yet intuitive end-to-end financial crime investigation platform** that uses powerful graph analytics to enhance each step of the investigation process, from detection to case management. Linkurious Enterprise provides both technical and non-technical users with a **deep understanding of relationships** and context to drive better decision making.

No matter how large or complex your data, Linkurious Enterprise can help you accelerate your investigations and **outsmart savvy fraudsters and money launderers**.



## Benefits of the solution

Linkurious Enterprise delivers key benefits to financial crime leaders:



**Improve detection** with a 360° view of your data, stop advanced fraud and money laundering tactics that other solutions fail to uncover.



**Faster investigations**, with a flexible and powerful visual interface to navigate through data in one comprehensive view.



Easily manage workflows from end to end to **increase efficiency** and diminish the number of triage cases by prioritizing and consolidating cases.



Our solution can **be your go-to investigation platform**, or can layer into existing financial crime solutions to **improve alerts and investigations**.

## Contacts

Your on-site contacts:

→ **Modesta Nashif**  
Partner & Alliances Manager  
[modesta@linkurio.us](mailto:modesta@linkurio.us)

→ **Fahad Fayyaz**  
Account Executive  
[fahad@linkurio.us](mailto:fahad@linkurio.us)

**General sales inquiries**  
[contact@linkurio.us](mailto:contact@linkurio.us)

## Financial crime moves fast – NICE Actimize is faster

As the market leader for anti-money laundering, enterprise fraud, and trade compliance solutions, NICE Actimize leverages the industry's most advanced AI and machine learning to help firms protect their customers and assets. With powerful analytics, gain valuable insights with the speed and flexibility needed to fight financial crime within the integrated Enterprise Case Manager.

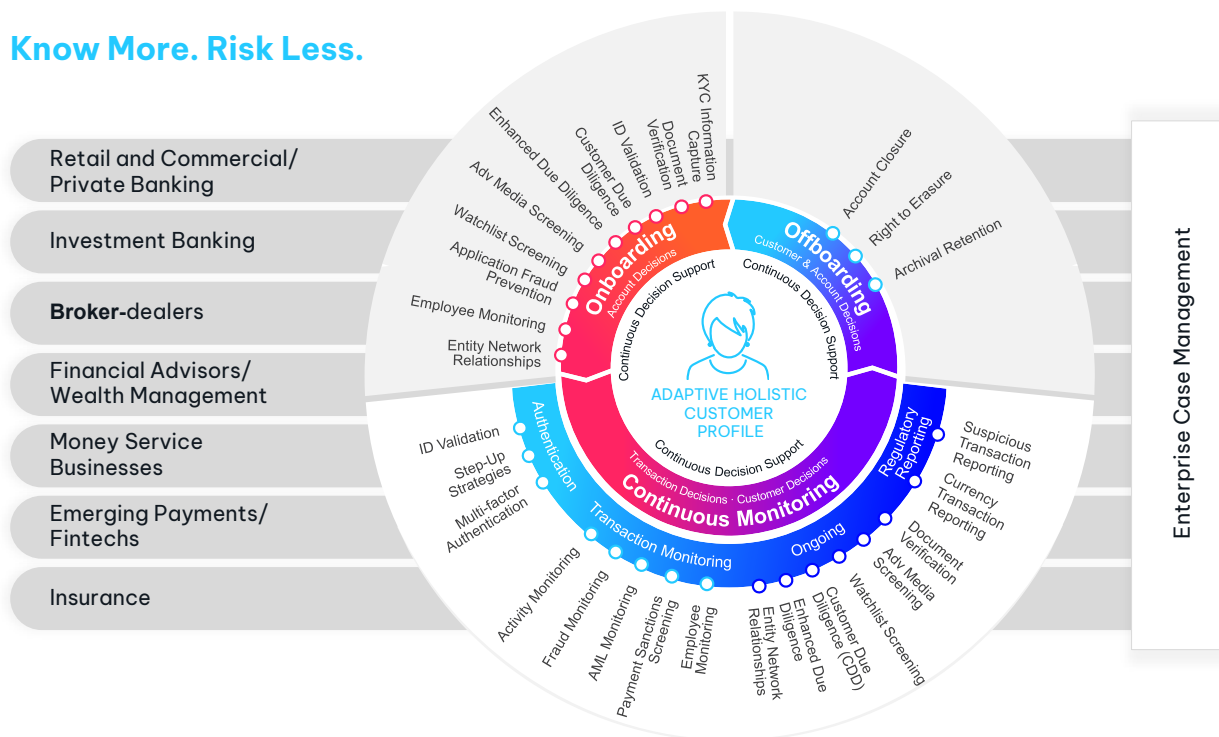
### Protecting Financial Institutions

Financial institutions of all sizes rely on NICE Actimize. Harnessing the power of AI, data, analytics, and the Cloud – NICE Actimize is there every step of the way from onboarding customers and authenticating interactions to monitoring transactions and conducting investigations.

### Safeguarding Your Customers

With digital acceleration happening all around us, your customers expect fast and frictionless experiences. Powered by actionable insights, NICE Actimize enables safe and frictionless transactions when it comes to opening accounts, depositing money, and mitigating risk throughout the entire customer life cycle.

### Know More. Risk Less.



Amid the realities of changing regulations, rapidly evolving technology and stretched resources, it's crucial to have a strong AML foundation. NICE Actimize offers an integrated set of solutions for a holistic view of customer risk for true life cycle risk management, business agility, and cost savings.

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CONTACTS

POLIXIS  
TRANSLATING RISK INTO BUSINESS

## OUR PRODUCTS

### ARDIS

Our flagship Deep due diligence software contains over 500mio unique entities & people predominantly used for the 1st and 2nd line of defense. It contains PEPs/Sanctions / Watchlists / Corporate data /Press screening and more. One of the key advantages that it provides is visibility on collatoratoral sanction exposure as well as advanced data mapping to reduce the same entities/people showing up more than once. It is considered a significant time saving and risk reduction tool by our clients.

### CHECKMATE PRESSA

A next generation bulk screening tool for Adverse Press Screening. Designed to reduce the workload for organizations by using the latest AI technology to sort and categorize the results into a manageable result.

### CHECKMATE RISK

A next generation bulk screening tool for PEPs/Sanctions/Watchlists designed to provide users with more flexibility in what they want to screen.

### WEEKLY REPORTS - PRESS

A growing sector of our business, these digestible, focused regional press reports are focussed on the most important influential high net worth individuals.

### ENHANCED DUE DILIGENCE REPORTS

Polixis grew from this base and we continue to provide these legacy high quality reports to our clients.

### WHY WORK WITH US?

BEYOND OUR ACCELERATING TECHNOLOGY ADVANTAGE, WE PRIDE OURSELVES ON OUR COLLABORATION WITH CLIENTS. WE REGULARLY IMPLEMENT YOUR CUSTOMER FEEDBACK INTO OUR SOLUTIONS TO MAKE SURE THEY ARE AS ADAPTED AS POSSIBLE FOR THE TASK THEY ARE TRYING TO ACHIEVE. TO SAVE MONEY, SAVE TIME AND TO REDUCE RISK. POLIXIS, BUILT BY COMPLIANCE OFFICERS FOR COMPLIANCE OFFICERS.

## ABOUT COMPANY

Polixis is the only Swiss secured full circle AML data source and software provider.

Founded over 10 years ago, Polixis has grown dramatically in recent years and now has over 50 software and AI engineers with people in over 20 countries. This allows us to be a major global AML data source with over 30,000 inputs and a formidable technology engine which continues to gather pace.

We were originally known for our strength in emerging markets, especially Russia, however this has long since been left behind as our data compares to any of our global competitors.

We take pride in the continuous development of our next generation compliance tools which combine speed, customization and ease of use allowing for organizations to meaningfully reduce their effort on compliance.

FIND MORE INFO ON  
[WWW.POLIXIS.COM](http://WWW.POLIXIS.COM)

BIG DATA,  
HUMAN EXPERTISE &  
MACHINE INTELLIGENCE





has specialized **since 1994** in the search for heirs and beneficiaries of unclaimed assets in Switzerland and abroad. As of today, it has resolved **7'500 heir searches** for inheritance related professions as well as financial institutions.

FEATURED ON



PME

**an unmatched success rate : 98%** of our dormant accounts cases were successfully settled.

**a 30-year experience** including extensive practice with financial institutions : more than **2'000 NARILO cases** completed to date.

**absolute protection of data and banking secrecy** : we keep all sensitive data in Switzerland, in a highly secured environment. Our working methods guarantee absolute respect for banking secrecy.

Absence of heir(s) or holder(s) of the asset	search for asset holder; in the event of the proven death of the latter, search for the rights holders (heirs, legatees).	Close to 40 <b>multilingual</b> people (employees or correspondents), all <b>bound by professional secrecy</b> , work on behalf of SOGENI :
Unknown / incomplete inheritance chart	determination of the inheritance chart under the applicable law : identity of the heirs, respective shares.	: a dozen of employees centralize the research work. : our global network of 30 professionals provide local genealogy and inheritance law knowledge and support. : SOGENI has branches in <b>Lausanne</b> and <b>Zürich</b> .

You have access to **very specific skills and information sources**, which are instrumental in resolving the cases.

Your searches are handled with **utmost discretion** and professionalism and successfully resolved with a **98% certainty**.

You can **free up internal resources at no costs** for your institution. The search expenses are charged to the account in accordance with Swiss Banking Association's NARILO guidelines.