

57th SWISS COMPLIANCE OFFICERS MEETING / SCOM

November 06, 2025
SIX Convention Point, Pfingstweidstrasse 110, 8005 Zürich

43th SCOM FORUM

actico



SOGENI SA
INTERNATIONAL HEIR SEARCH



aosphere
Global legal analysis

POLIXIS
TRANSLATING RISK INTO BUSINESS

57th SWISS COMPLIANCE OFFICERS MEETING / SCOM

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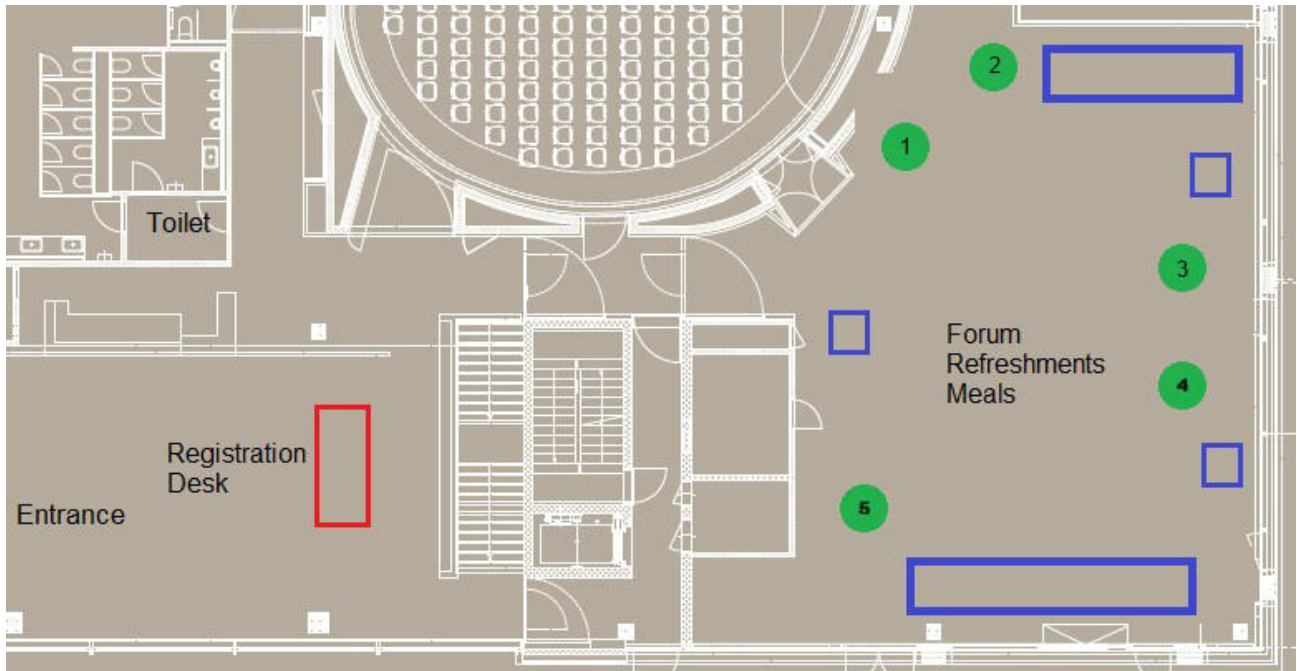
43th SCOM FORUM

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Exhibitor Portraits provided by the Companies (in alphabetical order)

Exhibition Plan



Exhibitors

- | | |
|---|----------|
| 1 | FH OST |
| 2 | Polixis |
| 3 | Sogeni |
| 4 | Actico |
| 5 | Indigita |

Welcome Desk (Entrance Hall)

Buffet & Coffee

Höchsteffizienz -Regulatorik inklusive

actico

ACTICO treibt Ihren Unternehmenserfolg voran: Mit dedizierten, KI-unterstützten Software- und SaaS-Lösungen für regulatorische Compliance. Effizienz im Einklang mit den regulatorischen Anforderungen sind Schlüsselfaktoren für den Erfolg von Banken, Finanzdienstleistern und Versicherungsunternehmen.

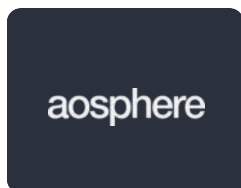
Mit spezialisierten Cloud- und On-Premises-Lösungen stellt ACTICO Unternehmen die passenden Tools zur Verfügung, um in einer der schnelllebigsten Branchen wachstumsorientiert und erfolgreich zu agieren.

Unsere Kunden profitieren dabei von unserer profunden Expertise aus über 25 Jahren erfolgreicher Geschäftstätigkeit und dem tiefen Verständnis für individuelle Kundenbedürfnisse für den Compliance Fachbereich und die IT.

www.actico.com

About us - Leader in global legal analysis

Established in 2002, aosphere delivers high quality online legal risk management products which help clients operate more efficiently. In 2024, aosphere and BRP joined forces. In 2025, Indigita and Investment Navigator followed, together forming the aosphere Group.



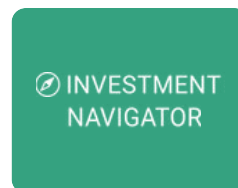
Regulatory
Data Expert



Swiss and Cross-Border
Expert



Digital Solutions Experts



Global Compliance Simplified

Our suite of products empowers financial institutions to navigate complex legal and regulatory landscapes with confidence. Designed for flexibility and accessibility, our solutions support every aspect of cross-border operations, risk management, and legal compliance.

Regulatory Products

Discover our advanced online platform offering a complete suite of legal risk management tools. Navigate derivatives, cross-border distribution and lending, crypto, shareholding disclosure, and data privacy with confidence.

Country Manuals

Our country-specific manuals cover over 190 jurisdictions, providing clear guidance on legal and regulatory frameworks. They help financial institutions manage risks and ensure compliance across private banking, products, credits, external asset managers (EAMs), and taxation.

Web-Apps

Our web-apps provide tailored, real-time regulatory guidance across over 190 jurisdictions, directly to your devices smartphone, tablet, or laptop. They support compliant international client interactions, including private banking services, product placement and distribution rules, eligibility assessments, and tax suitability.

APIs

Embed cross-border intelligence directly into your internal systems with our APIs. Connect Core Banking Systems, PMS, CRMs, HR platforms, and other tools to access real-time insights and strengthen operational compliance.

e-Learning

We deliver a wide range of audit-proof cross-border and compliance trainings. Our courses are SAQ certified and designed to keep financial professionals up to date on key regulatory topics and cross-border rules.

Contact us

nha@brpsa.com
T. +41 (0)79 508 02 63

CAS Künstliche Intelligenz und Compliance an der OST

KI verstehen. Risiken steuern. Zukunft gestalten.

Unternehmen sind zunehmend auf Expertinnen und Experten angewiesen, die KI-getriebene Geschäfts- und Compliance-Prozesse rechtssicher gestalten können. Der **CAS Künstliche Intelligenz und Compliance** vermittelt das erforderliche Know-how, um KI strategisch, regelkonform und sicher im Bereich der Compliance einzusetzen und weiterzuentwickeln.

Auf einen Blick

Abschluss

Certificate of Advanced Studies CAS in Künstliche Intelligenz und Compliance
(15 ECTS-Punkte)

Schwerpunkte

- Technische und praxisnahe Einsatzmöglichkeiten von KI in der Compliance
- Konkrete Anwendungsbereiche von KI
- KI-Tools für Fach- und Führungskräfte
- Rechtliche Rahmenbedingungen

Nutzen

können. Sie entwickeln ein Gespür für Chancen und Risiken und lernen, KI-gestützte Instrumente einzusetzen, um mehr Transparenz zu schaffen, Risiken zu steuern und die Einhaltung von Vorschriften zu verbessern.

Dauer

15 Präsenztage, berufsbegleitend

Mehr Infos:

<https://www.ost.ch/de/weiterbildung/weiterbildungsangebot/wirtschaft/wirtschaftsrecht/cas-kuenstliche-intelligenz-und-compliance>

Kursleitung

Prof. Dr. iur. Patrick L. Krauskopf

IFL Institut für Finance und
Law Leiter Kompetenzzentrum Business Law

+41 58 257 39 94, patrick.krauskopf@ost.ch

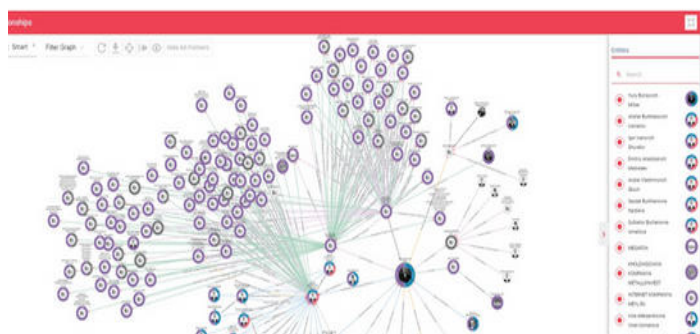


Polixis is a leading Swiss RegTech. Since 2012, we've served **Tier 1 banks, regulators, and state bodies**, powered by a team of **80+ experts**, from **AI & Data Science to Compliance Officers**.

OUR PRODUCTS

ARDIS

Our flagship **Deep due diligence software** contains over **600mio unique entities & people** designed for conducting complex **AML, PEP, sanctions** and **KYC research**. It is a perfect solution for both Front Office and Compliance teams to enhance existing **KYC, review or conduct new on-boarding**.



PEP & REP

A comprehensive **PEP, Sanction, and other risk relevant information database/ software**, deployable as **API, web-based application**, and bulk data.



ENHANCED DUE DILIGENCE REPORTS

Comprehensive reports based on **in-depth research** from publicly available sources

CHECKMATE

Real-time batch screening of your clients against **sanctions, PEPs, and regulatory lists**. Just upload your **Excel file, set parameters**, and the system does the rest.

Name	Status	Risk Indicators
John Doe	High	Sanctions, PEP
Jane Smith	Medium	Sanctions
John Doe	Low	Sanctions

WEEKLY RISK & COMPLIANCE REPORTS

Focusing on **diverse geographic regions**, are specially designed for banking, finance, risk and compliance professionals and are delivered to your email in an **interactive PDF** format. They are accompanied with a corresponding **Data File in Excel or CSV format** ready to **integrate into your screening system**.





SOGENI SA

INTERNATIONAL HEIR SEARCH

FEATURED ON



PME

SOGENI SA, the leading Swiss firm in international heir search, has specialized **since 1994** in the search for heirs and beneficiaries of unclaimed assets in Switzerland and abroad. As of today, it has resolved more than **8'000 heir searches** for inheritance related professions as well as financial institutions.



Our strengths :

- › **an unmatched success rate : 98%** of our dormant accounts cases were successfully settled.
- › **a 30-year experience** including extensive practice with financial institutions : more than **2'000 NARILO cases** completed to date.
- › **absolute protection of data and banking secrecy** : we keep all sensitive data in Switzerland, in a highly secured environment. Our working methods guarantee absolute respect for banking secrecy.



Services :

Absence of heir(s) or holder(s) of the asset

search for asset holder; in the event of the proven death of the latter, search for the rights holders (heirs, legatees).

Unknown / incomplete inheritance chart

determination of the inheritance chart under the applicable law : identity of the heirs, respective shares.



Organisation and team :

A dozen of employees and a large network of correspondents, all **bound by professional secrecy**, work on behalf of SOGENI :

- › **In Switzerland** : a dozen of employees centralize the research work.
- › **Foreign countries** : our global network of more than 130 professionals provide local genealogy and inheritance law knowledge and support.
- › **Offices** : SOGENI has branches in **Lausanne** and **Zürich**.

When entrusting your dormant accounts ("NARILO") cases to SOGENI :

- › You have access to **very specific skills and information sources**, which are instrumental in resolving the cases.
- › Your searches are handled with **utmost discretion** and professionalism and successfully resolved with a **98% certainty**.
- › You can **free up internal resources at no costs** for your institution. The search expenses are charged to the account in accordance with Swiss Banking Association's NARILO guidelines.



Avenue du Théâtre 7
CH-1005 Lausanne
Tél. : +41 21 925 92 30

Manuel Bonnet
Managing Director
manuel.bonnet@sogeni.com

Bahnhofstrasse 37
CH-8001 Zürich
Tél. : +41 44 519 01 50