

58th SWISS COMPLIANCE OFFICERS MEETING / SCOM

May 26, 2026

SIX Convention Point, Pfingstweidstrasse 110, 8005 Zürich

44th SCOM FORUM



Universität St.Gallen



aosphere
Global legal analysis

UNIQUE

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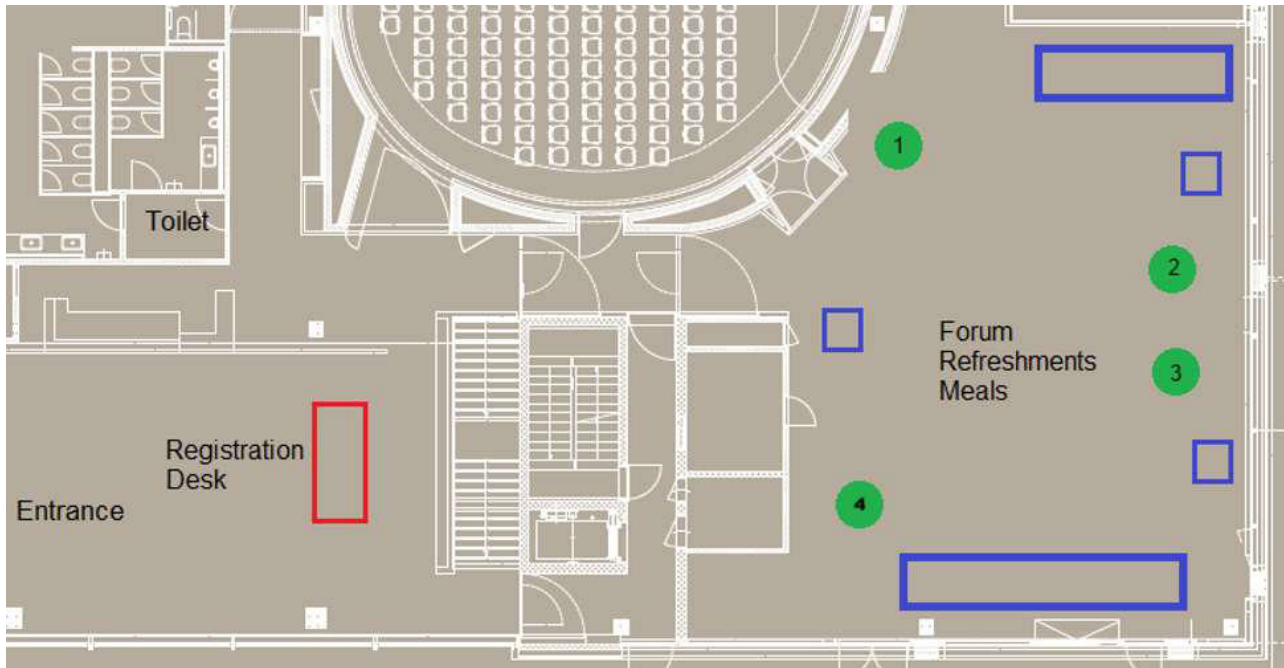
44th SCOM FORUM

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Exhibitor Portraits provided by the Companies (in alphabetical order)

Exhibition Plan



Exhibitors

- 1 Uni SG
- 2 Unique
- 3 FH Ost
- 4 aosphere

Welcome Desk (Entrance Hall)

Buffet & Coffee

About us - Leader in global legal analysis & cross-border distribution

Established in 2002, aosphere delivers high quality online legal risk management products which help clients operate more efficiently. In 2024, aosphere and BRP joined forces. In 2025, Investment Navigator followed, together forming the aosphere Group.

aosphere

Regulatory
Data Expert

aosphere | brp

Swiss and Cross-Border
Expert

aosphere | investment
navigator

Digital Solutions Expert

Global Compliance Simplified

Our suite of products empowers financial institutions to navigate complex legal and regulatory landscapes with confidence. Designed for flexibility and accessibility, our solutions support every aspect of cross-border operations, risk management, and legal compliance.

Regulatory Products

Discover our advanced online platform offering a complete suite of legal risk management tools. Navigate derivatives, cross-border distribution and lending, crypto, shareholding disclosure, and data privacy with confidence.

Country Manuals

Our country-specific manuals cover over 190 jurisdictions, providing clear guidance on legal and regulatory frameworks. They help financial institutions manage risks and ensure compliance across private banking, products, credits, external asset managers (EAMs), and taxation.

Digital Workflows

Our digital solution, in use at some of Europe's leading financial service providers, empowers internal and cross-organizational collaboration while still providing Legal and Compliance teams full control.

Accessible anytime, anywhere – via desktop, mobile, or API integration – we enable cross-border banking by connecting our regulatory intelligence directly with a bank's compliance experts on the same platform which levels-up the process with more clarity, efficiency, and consistency than ever before.

Our digital workflow solution supports compliant international client interactions, including private banking services, product placement and distribution rules, eligibility assessments, and tax suitability.

e-Learning

We deliver a wide range of audit-proof cross-border and compliance trainings. Our courses are SAQ certified and designed to keep financial professionals up to date on key regulatory topics and cross-border rules.

Contact us

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CAS Artificial Intelligence and Compliance

Program Structure

MODULE 1 · AI Tech Days

Block week | Sept 21–25, 2026

- › Machine learning & generative AI techniques
- › Practical AI tools for compliance professionals
- › AI-supported risk management & RegTech
- › ★ **One day at Google Campus, Zurich**

MODULE 2 · Compliance Days

Oct 22 – Nov 20, 2026 (Thu & Fri)

- › EU AI Act, GDPR & Swiss DSG — regulatory frameworks
- › AI governance, ethics & corporate strategy
- › ★ **One day at Google Campus, Zurich**

PROJECT WORK

- › Develop & present a real-world AI implementation project
- › Peer collaboration and expert coaching throughout

Who Should Attend?

- › Compliance & Legal professionals
- › Risk Managers
- › Regulatory Affairs specialists
- › Professionals in regulated industries
- › Executives & managers in compliance-driven roles

At a Glance

DEGREE

CAS in AI & Compliance · 15 ECTS

DATES

Module 1: Sept 21–25, 2026 (block week)

Module 2: Oct 22 – Nov 20, 2026

LOCATION

Zurich, near main railway station

LANGUAGE

English

INVESTMENT

CHF 14,500

SACO Members: CHF 13,050

Early Bird: CHF 11,600

SACO Members until June 30

CONTACT

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076 567 14 07

More Informations



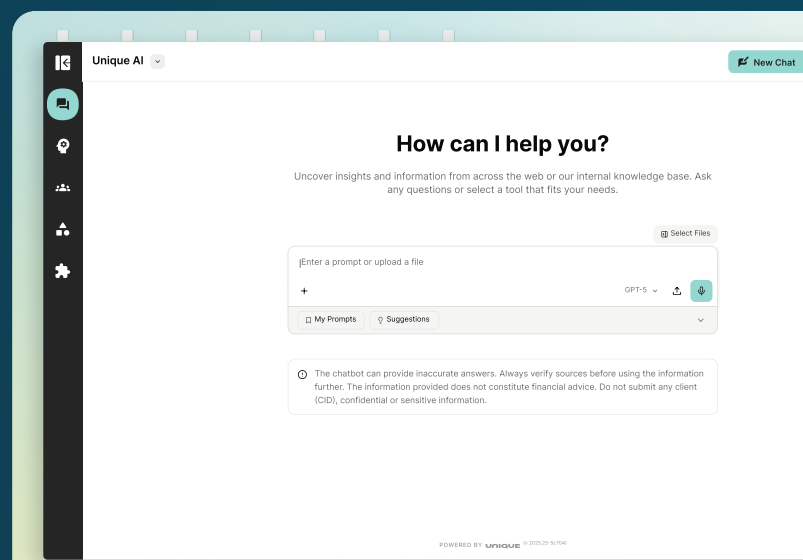
AI is fundamentally transforming my role as a Compliance Officer. When I bring together governance, risk management, and regulatory requirements for AI, my role shifts from mere oversight to active shaping. In this way, I am evolving from a Compliance Officer into a Compliance Developer.

— L.L., Compliance Officer, CAS AI and Compliance Graduate

UNIQUE

Secure AI, Built for Finance

An orchestration layer powering end-to-end workflows across hedge funds, asset managers, and private wealth, integrating sensitive financial data at scale.



40+

CLIENTS GLOBALLY

\$5T+

AUM SERVED

\$50M+

CAPITAL RAISED



Julius Bär



WHY UNIQUE AI



Purpose-built for Finance

Pre-built agents and connectors designed for financial workflows, with the security and oversight financial institutions require.



Fully Flexible & Modular

LLM and data agnostic. Connect anything, run any model, and build what you need across any deployment environment.



Built & Managed by Unique AI

One governed platform deployed firmwide. All upgrades, maintenance, and model management handled by Unique AI.

THE FULL STACK ADVANTAGE

Most AI vendors solve the model layer. Unique AI solves the full stack.

HORIZONTAL AI

Great models, limited infrastructure

You get the model. Connectors, governance, orchestration, and compliance are on you to build, maintain, and scale.

You own the infrastructure.

OTHER VERTICAL AI SOLUTIONS

Finance-aware, partial stack

Strong for specific use cases within a team. No shared data layer across teams, firmwide orchestration, or single platform to deploy and govern at scale.

Built for a desk, impractical for the firm.

UNIQUE AI

Finance-built, full stack

Built for use cases across your firm. Connectors, governance, orchestration, agents, and model flexibility — deployed across every team and managed at scale.

Deploy once. Scale across your firm.



By combining the speed and scalability of Unique AI with BNP Paribas Wealth Management's deep financial expertise, our joint efforts are setting the stage for more personalized, proactive client service.

Mariam Rassai, Chief Digital and Data Officer

OUR AGENTIC ORCHESTRATION LAYER

The infrastructure to build, govern, and scale AI across your firm.



Fully Configurable

Build and customize agents to match your exact workflows and business logic.



Openly Extensible

Full access to Unique AI's SDK, models, and agent framework — build what you need, your way.



Intelligently Managed

Granular visibility into model usage and costs — govern AI across your organization without surprises.



Completely Transparent

Every action is logged, traceable, and explainable so you always know what happened and why.



Securely Governed

Built to meet the strictest compliance requirements — with granular access controls and data that never leaves your environment.

THE AGENTS

Pre-built agents across workflows – or configure your own

CLIENT ACQUISITION

Client Onboarding

Pulls from a variety of input sources to auto-populate forms, track and resolve missing information, and generate a structured onboarding package so advisors activate new relationships faster, with less paperwork.



INVESTMENT MANAGEMENT

Research

Synthesizes internal and external data including filings, broker research, earnings calls, and market data into structured investment insights in email, Word document, or PowerPoint format, tailored to each client's risk profile and mandate.



CLIENT SERVICING

Meeting Preparation

Combines client history, portfolio data, internal house views, and macro research into a single, structured meeting brief, ready before every client interaction so relationship managers walk in informed and prepared.



COMPLIANCE

KYC

Automates the full KYC lifecycle from initial onboarding through periodic account reviews and Source of Wealth documentation, with every answer quality-scored, fully traceable, and compliance-ready before submission.





Universität St.Gallen

Executive School

Zukunft gestalten mit

Law & Management der HSG

Der Geschäftsbereich «Law & Management» der Executive School HSG bietet seit 2007 Weiterbildungen auf allen Stufen in acht Themengebieten an, sowohl als offene Programme als auch als massgeschneiderte Inhouse-Angebote. Über 1600 Teilnehmende haben die laufend weiterentwickelten Formate bereits besucht.

Compliance Management (CM HSG) Die richtige Kultur schaffen – und Risiken minimieren

Compliance ist mehr als Regeltreue, sie ist ein Erfolgsfaktor. Der berufsbegleitende Studiengang der Universität St.Gallen vermittelt praxisnahes Wissen zu Compliance, Governance, Risiko- und Kulturmanagement.

Ihre Vorteile

- Praxisnah & direkt anwendbar
- Interdisziplinär: Recht, Management & Kultur
- Top-Referierende aus Praxis & Wissenschaft
- Berufsbegleitend & modular
- Abschluss als CAS/DAS oder Einzelmodule

Module

- Compliance-orientiertes Recht
- Compliance Management, Prozesse & Organisation
- Compliance-Kultur

Zielgruppen

Compliance-, Risk- und Legal-Profis sowie Fach- und Führungskräfte aus Wirtschaft, Verwaltung und Beratung.

Mehr erfahren



Compliance Management Day (CMD) Die führende Tagung für aktuelle Compliance-Themen

Der Compliance Management Day der Universität St.Gallen vereint Expert:innen und Entscheidungsträger:innen aus dem In- und Ausland.

Das erwartet Sie

- Aktuelle Trends & regulatorische Entwicklungen
- Praxisnahe Diskussionen zu Governance, Risiko & Kultur
- Hochkarätige Referierende
- Interaktive Formate & Networking (inkl. Industry Tables, Buddy System)

Zielgruppen

Compliance- und Risikoverantwortliche, Rechtsberater:innen, Wirtschaftsprüfer:innen, Mitglieder von Geschäftsleitungen, Verwaltungsräten und Aufsichtsbehörden.

Details

- 17. September 2026 | Zürich
- Sprache: Englisch

Jetzt anmelden

